

Attorney's Docket No. 05220.P002X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
)
 David D. Faraldo II) Examiner: Unassigned
)
Application No.: Not yet assigned) Art Unit: Unassigned
)
Filed: Herewith)
)
For: Method of and Apparatus for)
 Notification of State Changes in a)
 Monitored System)
Which is a Continuation-in-Part of)
Application No. 09/703,329)
Filed: October 31, 2000)

)

Assistant Commissioner for Patents
Washington, D.C. 20231

J1021 U.S. PRO
10/016117
10/30/01



INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

x 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.98(d), no copies of cited art are enclosed. Prior art has been previously cited in parent application no. 09/703,329, filed October 31, 2000.

If there are any additional charges, please charge Deposit Account No.
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 30, 2001



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Date of Deposit: October 30, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

La Renda Meyer

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J. Meyer 10/30/01
(Signature of person mailing paper or fee)

10/30/01
(Date signed)

Form PTO-1449 (Modified)	Atty Dcktn.: 05220.P002X	Serial No.: Not Yet Assigned				
List of Patents and Publications Statement (Use several sheets if necessary)		Applicant: David D. Faraldo II				
		Filing Date: Herewith				
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
	A	5,870,559	Leshem, et al.	395	200.54	04/11/1997
	B	6,006,260	Barrick, Jr., et al.	709	224	06/03/1997
	C	6,064,653	Farris	370	237	01/07/1997
	D	5,958,008	Pogrebisky, et al.	709	223	04/11/1997
	E	6,003,143	Kim, et al.	714	38	02/28/1997
	F	6,012,087	Freivald, et al.	709	218	05/20/1998
	G	6,138,157	Welter, et al.	709	224	10/12/1998
FOREIGN PATENT DOCUMENTS						
No.		Document No.	Date	Country	Class	Sub-Class
	H	0 022 534	04/20/2000	PCT	G06F	13/00
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)						
	I	NetSaint web Homepage at www.netsaint.org , 24-Sept-2000, 3 pages.				
	J	<u>TELALERT</u> Datasheet, Telamon, Inc., Oakland, CA., 16-Jun-1999, 2 pages.				
	K	G. Trent and M. Sake, "WebStone: The First generation in HTTP Server Benchmarking," printed from website at: http://www.mindcraft.com/webstone/paper.html . Feb 1995, 11 pgs.				
	L	Almeida, Jussara, et al., "Measuring the Behavior of a World-Wide-Web Server," in: Seventh Conference on High Performance Networking (HPN), April 1997. pp. 57-72				
	M	Senna, Jeff, "PageSentry keeps tabs on Internet servers," InfoWorld, 6/30/97, Vol. 19, Issue 26, pg. 82B.				
	N	Davis, Beth, "Web Services Help Measure Site Success," Communications Week, 9/30/96, Issue 631, 2 pages.				
	O	NFR® Intrusion Detection Appliance User's Guide, Version 5.0, Network Flight Recorder, Inc., October 2000.				
	P	Ranum, Marcus J., et al., "Implementing a Generalized Tool for Network Monitoring," 1997 LISA XI Conference, October 26-31, 1997- San Diego, CA, pgs 1-8.				
	Q	LaLiberte, & Braverman, "A Protocol for Scalable Group and Public Annotations," from website www.igd.fhg.de/archive/1995/www95/proceedings/papers/100/scalable-annotations.html . 10 pgs.				
	R	Marc Abrams & Stephen Williams, "Complementing Surveying and Demographics with Automated Network Monitoring," 17 Jun'96, http://vttopus.cs.vt.edu/~chitra/docs/9623j/96w3j.html , 22 pgs.				
Examiner		Date Considered				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

